



NEW YORK eHEALTH
COLLABORATIVE



New York State Data Exchange Incentive Program (DEIP)

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Agenda

- I. SHIN-NY & DEIP program basics
- II. Eligibility Requirements
- III. Data Contribution Requirements
- IV. Program and Payment Milestones
- V. QE Contacts
- VI. How to Get Started

The SHIN-NY in a Nutshell

- The **SHIN-NY** is a secure network of networks consisting of the eight regional RHIOs (also known as QEs)
 - Provides efficient access to clinical records helps providers better manage patient care
 - The SHIN-NY can help reduce healthcare costs, improve healthcare coordination, and increase the quality of care for patients in New York State
- Since March 2015, all RHIOs must provide the following **Core Services** to Participants
 - Statewide Patient Record Lookup*
 - Statewide Secure Messaging (Direct)*
 - Notifications (Alerts / Subscribe and Notify)*
 - Provider & Public Health Clinical Viewers*
 - Consent Management
 - Identity Management and Security
 - Public Health Reporting Integration
 - Lab Results Delivery*



DEIP Basics

- **Data Exchange Incentive Program (DEIP)** was established by the New York State Department of Health (NYSDOH), with support from the Centers for Medicare & Medicaid Services (CMS), to increase HIE adoption across the state by helping to defray the cost for an organization when connecting to their local QE
- The New York eHealth Collaborative (NYeC) is coordinating the rollout of the program and the incentive payments on behalf of the DOH
- Electronic Health Record (EHR) interfaces to New York State Qualified Entities (QEs) increases the quantity and quality of data in the SHIN-NY and build value for providers and patients at the point of care
 - In order to receive the full funding amount, \$13,000, a provider needs to have a live connection of this type with their QE
- Organizations participating in DEIP are incentivized to contribute a pre-defined set of data elements to their local QE
- Limited funding is available and this program is operated on a first-come, first-served basis

DEIP Eligibility Requirements

An organization must meet one of the following criteria:

Regulated Facilities

- Be licensed as one of the following:
 - Article 28 Nursing Homes and Diagnostic & Treatment Centers
 - Article 36 Home Health agency/program
 - Article 40 Hospice

Behavioral Health

- Be licensed by:
 - OMH
 - OASAS
- Or be a designated HCBS provider

EPs

- Have at least one provider who has attested to and been paid under Medicare MU or Medicaid MU (any year, any stage)

DEIP Eligibility Requirements- continued

An organization must meet all of the following criteria:

EHR

- Have an EHR that meets the Privacy & Security criteria set forth by NYeC and NYSDOH (see next slide)
- Have an EHR that is able to send data to the QE as either CCD or C-CDA
 - *EPs must send as C-CDA*

Medicaid

- Organization must accept Medicaid, in one of the following forms:
 - Fee-for-Service
 - Managed Care
 - HARP (for BH)

Other

- Organization/provider must not already be connected to/contributing data to a QE
- Must not have received payment from any source for similar HIE activities

NEW EHR Privacy & Security Requirements

- For purposes of DEIP, an EHR product and version must have obtained one of the following Privacy & Security Assurance(s) (A, B, and/or C):

A

- ONC Certification for, at a minimum, the following Privacy & Security criteria:
- **(d.1) Authentication, Access Control, and Authorization**
- **(d.2) Auditable Events**
- **(d.3) Audit Report(s)**
- **(d.4) Amendments**
- **(d.5) Automatic Log-off**
- **(d.6) Emergency Access**
- **(d.7) End-user device encryption**
- **(d.8) Integrity**
 - Certification requires the following dependency criteria:
- **(g.4) Quality Management System**
- **(g.5) Accessibility-Centered Design**

B

- Current SOC 2, Type II audit with no material findings**

C

- Current, validated HITRUST assessment or NIST cybersecurity framework assessment**

**If the EHR product and version meets requirement 'B' or 'C', vendor must provide additional attestation form related to EHR features, functions, and behaviors related to privacy and security

SOC 2, Type II audit will only be acceptable through September 30, 2019, at which time the vendor must be certified or assessed and compliant with ONC Privacy & Certification criteria, HITRUST or NIST

DEIP Data Contribution Requirements

Regulated Facilities (Art. 28, 36, 40)

- Contribute the **Common Clinical Data Set** in CCD or C-CDA:
 - Name, sex, DOB, race, ethnicity, pref. language, smoking status, problems, meds, med. allergies, labs, lab results, vitals, care plan fields, procedures, care team members, immunizations
 - *Additional data elements, if available:* Incidents & Accidents (I&A), nurses notes, progress notes, orders, pain and skin assessment, advance directives/MOLST

Behavioral Health

- Contribute **five specified Core elements**, plus three additional data elements, as available:
 - *Core:* encounters, demographics, procedures/service, individualized service plans, diagnoses
 - *Additional:* Medications, labs, allergies

Medicare & Medicaid EPs

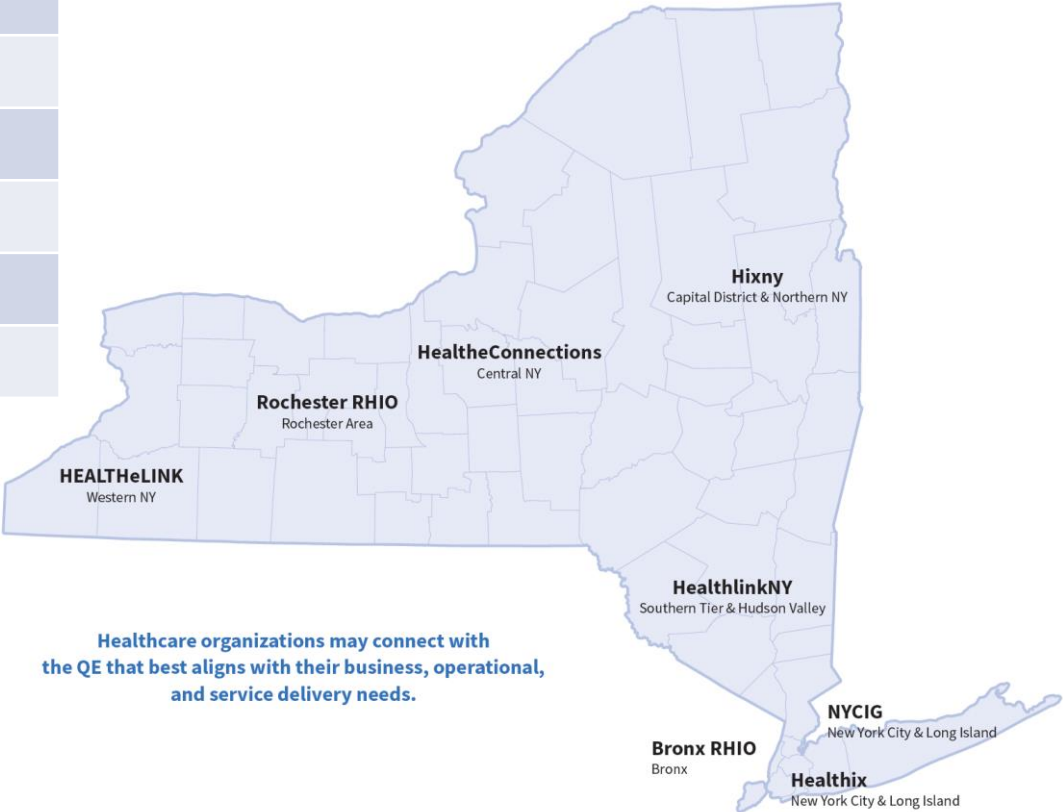
- Contribute the Common Clinical Data Set in CCD or C-CDA:
 - Name, sex, DOB, race, ethnicity, pref. language, smoking status, problems, meds, med. allergies, labs, lab results, vitals, care plan fields, procedures, care team members, immunizations,

Program & Payment Milestones

| Milestone/Activity | Deadline | Incentive Payment |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------------------------------|--------------------------|
| Milestone 1: Sign a Participation Agreement with a QE | Participation Agreement must have been executed on or after 10/1/16 to receive payment against this milestone | \$2,000 per organization |
| Milestone 2: Attest to the following: <ul style="list-style-type: none">- Have the ability to receive a summary of care document in C-CDA- Have established a connection to the QE and that the organization is contributing the required clinical data as per the program requirements | No later than 9/30/2018 | \$11,000 per connection |

RHIO/ QE Contacts

| QE | Contact | Email |
|--------------------|------------------|------------------------------|
| Bronx RHIO | Keela Shatzkin | keela@shatzkinsystems.com |
| HealtheConnections | Danielle Wert | dwert@healtheconnections.org |
| HEALTHeLINK | Stephen Gates | sgates@wnyhealthelink.com |
| Healthix | Manny Tavaréz | mtavarez@healthix.org |
| HealthlinkNY | Noele Lynch | nlynch@healthlinkny.com |
| Hixny | Bryan Cudmore | bcudmore@hixny.org |
| NYCIG | Sue-Anne Villano | sue-ann.villano@nycig.org |
| Rochester RHIO | Denise Dinoto | denise.dinoto@grrhio.org |

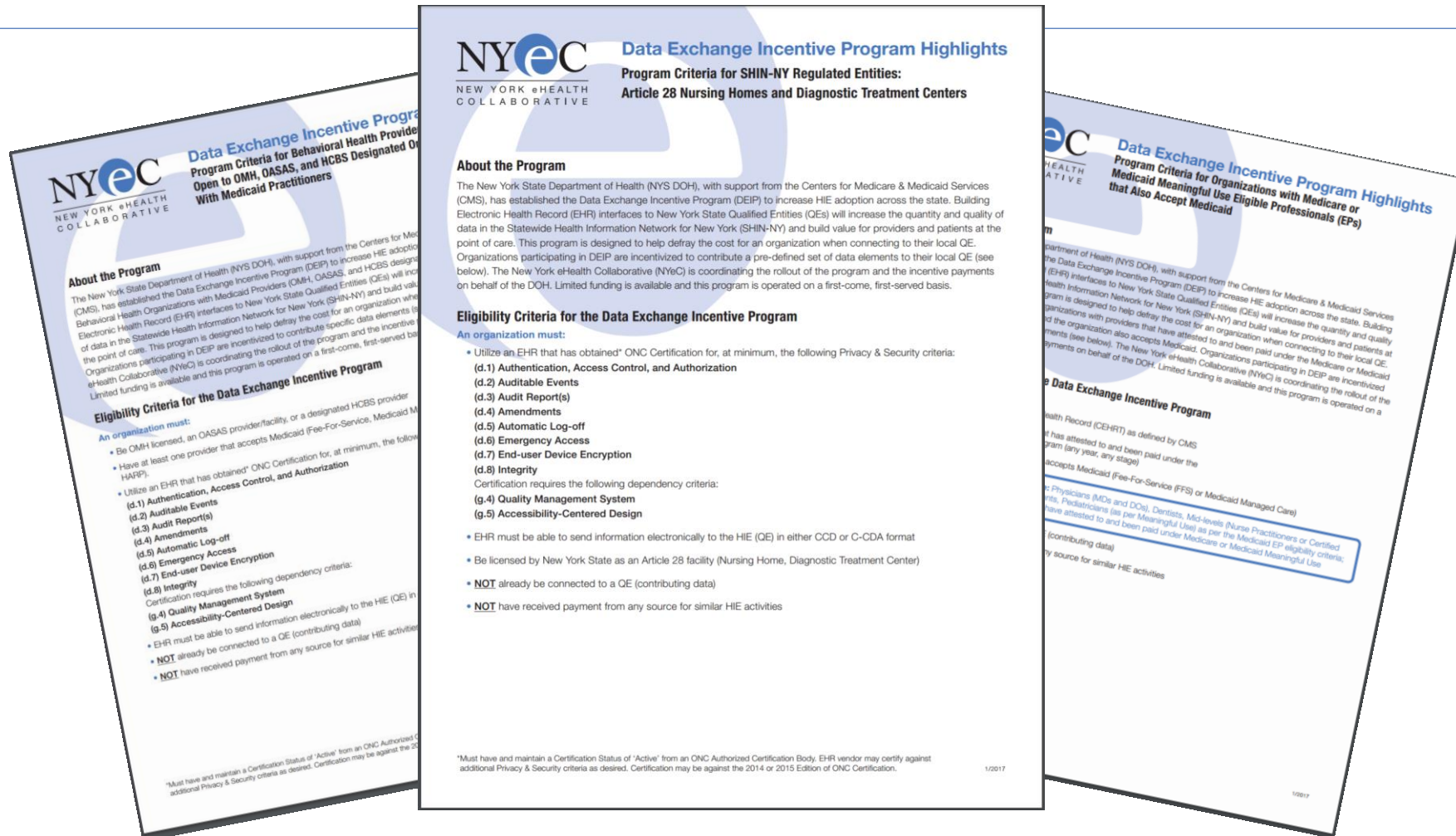


Healthcare organizations may connect with the QE that best aligns with their business, operational, and service delivery needs.

How to Get Started

- ✓ Check your organization's eligibility against the program requirements
- ✓ Contact your local QE
- ✓ Talk to your EHR vendor
- ✓ Contact NYeC or your local QE with any questions deip@nyehealth.org

Overview documents Available Online



Data Exchange Incentive Program Highlights

Program Criteria for SHIN-NY Regulated Entities:
Article 28 Nursing Homes and Diagnostic Treatment Centers

About the Program

The New York State Department of Health (NYS DOH), with support from the Centers for Medicare & Medicaid Services (CMS), has established the Data Exchange Incentive Program (DEIP) to increase HIE adoption across the state. Building Electronic Health Record (EHR) interfaces to New York State Qualified Entities (QEs) will increase the quantity and quality of data in the Statewide Health Information Network for New York (SHIN-NY) and build value for providers and patients at the point of care. This program is designed to help defray the cost for an organization when connecting to their local QE. Organizations participating in DEIP are incentivized to contribute a pre-defined set of data elements to their local QE (see below). The New York eHealth Collaborative (NYeC) is coordinating the rollout of the program and the incentive payments on behalf of the DOH. Limited funding is available and this program is operated on a first-come, first-served basis.

Eligibility Criteria for the Data Exchange Incentive Program

An organization must:

- Utilize an EHR that has obtained* ONC Certification for, at minimum, the following Privacy & Security criteria:
 - (d.1) Authentication, Access Control, and Authorization
 - (d.2) Auditable Events
 - (d.3) Audit Report(s)
 - (d.4) Amendments
 - (d.5) Automatic Log-off
 - (d.6) Emergency Access
 - (d.7) End-user Device Encryption
 - (d.8) IntegrityCertification requires the following dependency criteria:
 - (g.4) Quality Management System
 - (g.5) Accessibility-Centered Design
- EHR must be able to send information electronically to the HIE (QE) in either CCD or C-CDA format
- Be licensed by New York State as an Article 28 facility (Nursing Home, Diagnostic Treatment Center)
- **NOT** already be connected to a QE (contributing data)
- **NOT** have received payment from any source for similar HIE activities

*Must have and maintain a Certification Status of "Active" from an ONC Authorized Certification Body. EHR vendor may certify against additional Privacy & Security criteria as desired. Certification may be against the 2014 or 2015 Edition of ONC Certification.

<http://www.nyehealth.org/deip>



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